

# Chengetedzai Depository Company Limited

## Securities Account Opening/Update Form (CSD 1a) - Individuals

**To be completed in BLOCK LETTERS**

Primary Applicant			
Title:	Forenames*:	Surname*:	
Date of Birth (dd/mm/yyyy)*:	Gender (M/F):	Foreign/Local Investor*:	
National ID*:	Passport No.*:		
Address Line 1*:			
Address Line 2:			
City*:	Country*:	Cellphone No*:	
Telephone*:	e-mail:		
Joint Applicant			
Title:	Forenames*:	Surname*:	
Date of Birth(dd/mm/yyyy)*:	Gender (M/F):	Foreign/Local Investor*:	
National ID*:	Passport No.*:		
Address Line 1*:			
Address Line 2:			
City*:	Country*:	Cellphone No*:	
Telephone*:	e-mail:		
NB (*) Denotes required/mandatory fields. <b>Completed forms must be accompanied by certified copy of ID Document and two (2) passport size photos</b>			
<b>Dividend Disposal Instruction</b>			
		Cash or	Bank
		<input type="checkbox"/>	<input type="checkbox"/>
Bank Name:.....	Branch:.....	Account number:.....	

### DECLARATION

- i. I/We hereby request you to open and maintain a Securities Account in the Central Securities Depositories (CSD) in my/our name(s).
- ii. I/We hereby represent and warrant that we have good title to such securities that may be held in my/our Securities Account from time to time.
- iii. I/We affirm that the funds to be used for the purchase of Securities through my / our Securities Account will not be funds derived from any money laundering activity or funds generated from terrorists or any other illegal activity.
- iv. I/We hereby confirm that the undersigned Participant has full authority to intermediate and or conduct business on with the Depository on my/our behalf in keeping with CDCL CSD Rules and Procedures that may be in force from time to time.
- v. I/We agree to be bound by the terms and conditions articulated in the CDCL CSD Rules, Procedures and any other instructions.
- vi. I/We undertake to notify the under mentioned Participant of any change of particulars or information provided by me/us in this form.

Primary Applicant Signature ..... Date.....

Joint Applicant Signature ..... Date.....

FOR PARTICIPANT USE ONLY	FOR CDCL USE ONLY
<b>Declaration:</b> We, the undersigned undertake that we have checked the accuracy of the documents submitted with this application.  Verified By: .....  Signature: ..... Date: .....  Securities Account Number <input style="width: 150px;" type="text"/>  <b>Accompanying certified copy (please cross - X)</b> National ID <input type="checkbox"/> Passport Page Details <input type="checkbox"/> Birth Certificate (for minors) <input type="checkbox"/>	<input type="checkbox"/> Approved <input type="checkbox"/> Declined  Approved By: .....  Signature: .....  <div style="text-align: right; color: #ccc; font-size: small;">CDCL Date Stamp</div>

### DISCLAIMER STATEMENT

Chengetedzai Depository Company (CDC), the Zimbabwe Stock Exchange (ZSE) and all their affiliates shall have no liability or responsibility, contingent or otherwise, for any loss or damages, caused as a result of system failure on the Central Securities Depository System (CSD) or on the Automated Trading System (ATS). Whilst ZSE and CDC will take reasonable care and attention to ensure the proper functioning of the systems, there shall be no responsibility for any liability or injuries, losses or damages caused by errors, inaccuracies, omissions or any other failure in, or delays or interruptions in trade executions on the systems.

White (original) – Client    Blue (copy) – Broker    Yellow (copy) – CDCL    Pink (copy) – Transfer Secretary