

# Chengetedzai Depository Company Limited

## Securities Account Opening/Update Form (CSD 1b) - Institutions

To be completed in BLOCK LETTERS

### Applicant Particulars

Name of Company / Organisation / Institution\*:

Registration /Certificate No\*:

Address\*:

City:  Foreign/Local Investor\*:  Telephone\*:

Cellphone\*:  Fax:  e-mail:

Institution Representative Full Name\*:  Designation:

Cellphone:  e-mail:

Dividend Disposal Instruction  
 Cash or  Bank Bank Name:.....Account number:.....

NB (\*) Denotes required/mandatory fields.

### DECLARATION

- i. We hereby request you to open and maintain a Securities Account in the Central Securities Depository (CSD) in our name(s).
- ii. We hereby represent and warrant that we have good title to such securities that may be held in our Securities Account from time to time.
- iii. We affirm that the funds to be used for the purchase of Securities through our Securities Account will not be funds derived from any money laundering activity or funds generated from terrorists or any other illegal activity.
- iv. We hereby confirm that the undersigned Participant has full authority to intermediate and or conduct business on with the Depository on our behalf in keeping with CDCL CSD Rules and Procedures that may be in force from time to time.
- v. We agree to be bound by the terms and conditions articulated in the CDCL CSD Rules, Procedures and any other instructions.
- vi. We undertake to notify the under mentioned Participant of any change of particulars or information provided by me/us in this form.

Date.....

for and on behalf of the APPLICANT

.....  
AUTHORISED SIGNATORY / SIGNATORIES

### CERTIFICATE OF RESOLUTION

I ....., hereby certify that the following resolution of the Board of Directors of.....was passed at a duly convened meeting of the Board held on ..... at which a quorum was present.

"It WAS RESOLVED that a Securities Account for the Company / Organisation / Institution be opened with Chengetedzai Depository Company Limited , ("CDCL") and be operated in accordance with the Terms and Conditions of the Depository in force from time to time"

.....  
Date

Certified true extract of minutes

.....  
Director/Secretary

#### FOR PARTICIPANT USE ONLY

#### Declaration:

We, the undersigned undertake that we have checked the accuracy of the documents submitted with this application.

Verified By: .....

Signature: .....Date:.....

Securities Account Number

#### FOR CDCL USE ONLY

Approved  Declined

Approved By: .....

Signature: .....

CDCL Date Stamp

#### DISCLAIMER STATEMENT

Chengetedzai Depository Company (CDC), the Zimbabwe Stock Exchange (ZSE) and all their affiliates shall have no liability or responsibility, contingent or otherwise, for any loss or damages, caused as a result of system failure on the Central Securities Depository System (CSD) or on the Automated Trading System (ATS). Whilst ZSE and CDC will take reasonable care and attention to ensure the proper functioning of the systems, there shall be no responsibility for any liability or injuries, losses or damages caused by errors, inaccuracies, omissions or any other failure in, or delays or interruptions in trade executions on the systems.

White (original) – Client Blue (copy) – Broker Yellow (copy) – CDCL Pink (copy) – Transfer Secretary